

EXPONENTIAL WEAK BERNOULLI MIXING FOR COLLET–ECKMANN MAPS

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ABSTRACT

We prove exponential weak Bernoulli mixing for invariant measures of certain piecewise monotone interval maps studied in [BK] and [KN]. In particular we prove this for unimodal maps with negative Schwarzian derivative satisfying $\liminf_{n \rightarrow \infty} \sqrt[n]{|DT^n(Tc)|} > 1$, where c is the unique critical point of T .

1. Introduction

The aim of this note is to prove exponential weak Bernoulli mixing for invariant measures of certain interval maps studied in [BK] and [KN]. The main result concerns Collet–Eckmann maps $T: [0, 1] \rightarrow [0, 1]$. These are unimodal maps of class C^3 with negative Schwarzian derivative

$$ST := \frac{T'''}{T'} - \frac{3}{2} \left(\frac{T''}{T'} \right)^2 \leq 0 \quad \text{except at } c \text{ where } T' = 0.$$

For such maps Collet and Eckmann [CE] proved: If $\liminf_{n \rightarrow \infty} \sqrt[n]{|DT^n(Tc)|} > 1$, then T has an invariant probability density. (Indeed, they used some additional assumption, which was removed in [No].) We call this class of maps **Collet–Eckmann maps**.

As a consequence of the general metric theory of S-unimodal maps [BL, Ke, Le] it is known that an invariant probability density h , if it exists at all, gives rise to a measure preserving dynamical system which is mixing (and even weakly Bernoulli) up to a finite rotation. This means that there is a finite disjoint

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collection of p intervals I_0, \dots, I_{p-1} which are cyclically permuted by T , and T^p , restricted to any of these intervals, is unimodal and mixing. If $p = 1$, T is called **nonrenormalizable**, otherwise we say T is **finitely renormalizable**.

In particular, if T is nonrenormalizable, the natural partition of $[0,1]$ into the monotonicity intervals $(0, c)$ and $(c, 1)$ of T is a weak Bernoulli generator. This means that the σ -algebra \mathcal{F}_0^∞ coincides, up to sets of Lebesgue measure 0, with the Borel σ -algebra and that

$$\beta_n(T, \mathcal{Z}, \mu) \rightarrow 0 \quad \text{as } n \rightarrow \infty,$$

where

$$(1.1) \quad \beta_n(T, \mathcal{Z}, \mu) := 2 \cdot \sup_{k>0} \int \sup\{|\mu(A|\mathcal{F}_0^k) - \mu(A)| : A \in \mathcal{F}_{k+n}^\infty\} d\mu,$$

$\mu := h \cdot m$ denotes the invariant measure, and \mathcal{F}_k^ℓ is the σ -algebra generated by the partitions $T^{-i}\mathcal{Z}$ ($k \leq i < \ell$).

The aim of this note is to prove

THEOREM 1: *If T is a nonrenormalizable Collet–Eckmann map satisfying the additional regularity assumptions (1.2) below, then there are $C > 0$ and $0 < r < 1$ such that $\beta_n(T, \mathcal{Z}, \mu) \leq C \cdot r^n$ for all $n > 0$.*

The weaker assertion that correlations of sufficiently well behaved functions decrease exponentially to 0 was already proved in [KN, Theorem 1.1] and [Yo].

The additional regularity assumptions are

There is a constant $M > 0$ such that

$$(1.2) \quad \begin{aligned} & 1. \quad M^{-1} < \frac{|x - c|^{\ell-1}}{|DT(x)|} < M \quad \text{for all } x, \\ & 2. \quad \text{var}_{[0,1]} \frac{|x - c|^{\ell-1}}{|DT(x)|} < M \quad \text{and} \\ & 3. \quad \text{var}_{[0,u]} \frac{|Tx - Tu|}{|x - u||DT(x)|} \quad \text{and} \quad \text{var}_{[v,1]} \frac{|Tx - Tv|}{|x - v||DT(x)|} < M \\ & \quad \text{if } u < c < v. \end{aligned}$$

These conditions are satisfied e.g. if T is a polynomial map with vanishing derivatives at c of all orders up to $\ell - 1$, but also for $T(x) = a(1 - |2x - 1|^\ell)$ with real $\ell > 1$. In both cases conditions 1. and 2. are easily checked. For condition 3. one should observe that the expressions of interest are bounded by 1 if both, x

and u (resp. v), are close to c , and that the derivatives of these expressions have a bounded number of sign changes.

As in [KN] we reduce the investigation of Collet–Eckmann maps to the situation studied in [BK].

Theorem 1 is useful for proving probabilistic limit theorems like the central limit theorem or the law of the iterated logarithm for statistics based on samples $(x, Tx, \dots, T^{n-1}x)$ where $x \in [0, 1]$ is picked at random from $([0, 1], \text{Lebesgue})$ or $([0, 1], \mu)$, see e.g. [HK, Theorem 5] and [DK]. A central limit theorem for the process (x, Tx, T^2x, \dots) itself is already proved in [KN, Yo].

2. Maps with countably many monotone branches

In this section let \mathcal{I} be a finite or countable family of intervals (which are subsets of some totally ordered, order complete space, cf. [HK, Ry]). Let X be the disjoint union of these intervals $I \in \mathcal{I}$. Suppose further there is a family \mathcal{Z} of disjoint subintervals of X (in particular, for each $Z \in \mathcal{Z}$ there is $I \in \mathcal{I}$ with $Z \subseteq I$) and let $Y = \bigcup_{Z \in \mathcal{Z}} Z$.

We study a transformation $T: Y \rightarrow X$ such that for each $Z \in \mathcal{Z}$ holds

$$(2.1) \quad \exists I \in \mathcal{I} \text{ such that } T(Z) \subseteq I \quad \text{and}$$

$$(2.2) \quad \begin{aligned} T|_Z &\text{ is monotone on } Z \text{ and has the Darboux property} \\ &\text{(i.e., if } J \subseteq I \text{ is an interval, then } TJ \text{ is an interval).} \end{aligned}$$

For an interval $J \subseteq X$ and a function $f: X \rightarrow \mathbf{C}$ define

$$\begin{aligned} \text{var}_J(f) &:= \sup \left\{ \sum_{i=1}^n |f(a_i) - f(a_{i-1})| : \right. \\ &\quad \left. n \geq 1, a_0 < a_1 < \dots < a_n, a_i \in J \right\}, \\ \text{var}(f) &:= \sum_{I \in \mathcal{I}} \text{var}_I(f), \\ \|f\|_\infty &:= \sum_{I \in \mathcal{I}} \sup_I |f|, \\ \|f\|_{BV} &:= \text{var}(f) + \|f\|_\infty. \end{aligned}$$

Let $BV := \{f: X \rightarrow \mathbf{C}: \|f\|_{BV} < \infty\}$. Then $(BV, \|\cdot\|_{BV})$ is a Banach space. Fix $g: X \rightarrow \mathbf{C}$ and define $g_n: X \rightarrow \mathbf{C}$ by

$$g_n(x) := g(x) \cdot g(Tx) \cdots g(T^{n-1}x)$$

and

$$(2.3) \quad \vartheta := \lim_{n \rightarrow \infty} \left(\sup_X |g_n| \right)^{1/n}.$$

We make the following assumption on g :

$$(2.4) \quad M_1 := \sup \left\{ \text{var}_I(g) + \sum_{\substack{Z \in \mathcal{Z} \\ Z \subseteq I}} \sup_Z |g| : I \in \mathcal{I} \right\} < \infty.$$

Associated with T and g is the transfer operator

$$\mathcal{L}: BV \rightarrow BV, \quad f \mapsto \sum_{Z \in \mathcal{Z}} (f \cdot g) \circ T|_Z^{-1}.$$

If $\mathcal{Z}_n := \{Z_0 \cap T^{-1}Z_1 \cap \dots \cap T^{-(n-1)}Z_{n-1} : Z_i \in \mathcal{Z} \text{ for all } i\}$ and $T_\eta^{-n} := (T^n|_\eta)^{-1}$ for $\eta \in \mathcal{Z}_n$, then

$$\mathcal{L}^n f = \sum_{\eta \in \mathcal{Z}_n} (f \cdot g_n) \circ T_\eta^{-n}.$$

By $|\mathcal{L}|$ we denote the transfer operator associated with T and $|g|$. Both, \mathcal{L} and $|\mathcal{L}|$ are bounded linear operators on BV , see [BK, Lemma 2.2].

LEMMA 1: *Let $J \subseteq I \in \mathcal{I}$ be an interval, $f_1, f_2: J \rightarrow \mathbf{C}$. Then*

$$\|\chi_J \cdot f_1 \cdot f_2\|_{BV} \leq \left(\text{var}_J(f_1) + 5 \sup_J |f_1| \right) \left(\text{var}_J(f_2) + \inf_J |f_2| \right).$$

Proof:

$$\begin{aligned} \|\chi_J \cdot f_1 \cdot f_2\|_{BV} &\leq \sup_J |f_1| \cdot \text{var}(\chi_J \cdot f_2) + \left(\text{var}(\chi_J \cdot f_1) + \sup_J |f_1| \right) \cdot \sup_J |f_2| \\ &\leq \sup_J |f_1| \cdot 2 \left(\text{var}_J(f_2) + \inf_J |f_2| \right) \\ &\quad + \left(\text{var}_J(f_1) + 3 \sup_J |f_1| \right) \left(\text{var}_J(f_2) + \inf_J |f_2| \right) \\ &\leq \left(\text{var}_J(f_1) + 5 \sup_J |f_1| \right) \left(\text{var}_J(f_2) + \inf_J |f_2| \right) \quad \blacksquare \end{aligned}$$

The following lemma is an adaption of an estimate from [Ry] to our setting.

LEMMA 2: For $f \in BV$ let

$$v_0(f) := \|f\|_{BV}, \quad v_n(f) := \sum_{\eta \in \mathcal{Z}_n} \|\mathcal{L}^n(\chi_\eta \cdot f)\|_{BV} \quad (n > 0).$$

For each $\Theta > \vartheta$ there is a constant $C > 0$ independent of f such that for all $n \geq 0$

$$v_n(f) \leq C \cdot \left(\Theta^n \|f\|_{BV} + \sum_{k=0}^{n-1} \Theta^k \|\mathcal{L}^{n-k}|f|\|_\infty \right).$$

Proof: Consider $\eta = \eta' \cap T^{-k}\eta''$ where $\eta' \in \mathcal{Z}_k$, $\eta'' \in \mathcal{Z}_\ell$, and $n = k + \ell$. Then, writing $J := T^n\eta$, $J' := T^k\eta'$, $\varphi := (g_\ell \cdot \chi_{\eta''}) \circ T_{\eta''}^{-\ell} = \mathcal{L}^\ell \chi_{\eta''}$ and $\psi := \mathcal{L}^k(\chi_{\eta'} \cdot f)$, we have

$$\begin{aligned} \|\mathcal{L}^{k+\ell}(\chi_\eta \cdot f)\|_{BV} &= \|\chi_J \cdot \mathcal{L}^\ell(\chi_{\eta''} \cdot \mathcal{L}^k(\chi_{\eta'} \cdot f))\|_{BV} \\ &= \|\chi_J \cdot \varphi \cdot (\psi \circ T_{\eta''}^{-\ell})\|_{BV} \\ &\leq \left(\text{var}_J(\varphi) + 5 \sup_J |\varphi| \right) \left(\text{var}_{J'}(\psi) + \inf_{J'} |\psi| \right) \quad \text{Lemma 1} \\ &\leq \left(\text{var}_{\eta''}(g_\ell) + 5 \sup_{\eta''} |g_\ell| \right) \cdot \text{var}_{\eta''}(\psi) + 5 \|\mathcal{L}^\ell \chi_{\eta''}\|_{BV} \inf_{\eta''} |\psi|. \end{aligned}$$

As $J = T^n\eta \subseteq I$ for some $I \in \mathcal{I}$ and as $\text{var}_{\eta''}(g_\ell) + 5 \sup_{\eta''} |g_\ell| \leq C_1 \cdot \Theta^\ell$ with some constant $C_1 > 0$ by [BK, Corollary 2.4], this yields

$$\begin{aligned} v_{k+\ell}(f) &= \sum_{\eta} \|\mathcal{L}^{k+\ell}(\chi_\eta \cdot f)\|_{BV} \\ (2.5) \quad &\leq C_1 \cdot \Theta^\ell \cdot \sum_{\eta'} \text{var}(\mathcal{L}^k(\chi_{\eta'} \cdot f)) \\ &\quad + 5 \sum_{I \in \mathcal{I}} \sum_{\eta'' \subseteq I} \|\mathcal{L}^\ell \chi_{\eta''}\|_{BV} \cdot \sum_{\eta'} \inf_{\eta''} (|\mathcal{L}^k(\chi_{\eta'} \cdot f)|) \\ &\leq C_1 \cdot \Theta^\ell \cdot v_k(f) + 5 \sum_{I \in \mathcal{I}} \sum_{\eta'' \subseteq I} \|\mathcal{L}^\ell \chi_{\eta''}\|_{BV} \cdot \inf_{\eta''} (|\mathcal{L}^k|f|) \\ (2.6) \quad &\leq C_1 \cdot \Theta^\ell \cdot v_k(f) + 5 \sum_{I \in \mathcal{I}} v_\ell(\chi_I) \cdot \sup_I |\mathcal{L}^k|f|. \end{aligned}$$

Observe next that for each $I \in \mathcal{I}$

$$v_1(\chi_I) \leq \sum_{\substack{z \in \mathcal{Z} \\ z \subseteq I}} \|\mathcal{L}\chi_z\|_{BV} \leq \sum_{\substack{z \in \mathcal{Z} \\ z \subseteq I}} \left(\text{var}_z(g) + 2 \sup_z |g| \right) \leq 2M_1$$

by (2.4). Hence (2.5) yields, if applied to $f = \chi_{I_0}$, $I_0 \in \mathcal{I}$, and $\ell = 1$

$$v_{k+1}(\chi_{I_0}) \leq C_1 \Theta \cdot v_k(\chi_{I_0}) + 10M_1 \cdot \|\mathcal{L}^k \chi_{I_0}\|_\infty,$$

and it follows by induction that

$$S_k := \sup\{v_k(\chi_I) : I \in \mathcal{I}\} < \infty$$

for all $k > 0$. Therefore we can continue (2.5) by

$$(2.7) \quad v_{k+\ell}(f) \leq C_1 \cdot \Theta^\ell \cdot v_k(f) + 10S_\ell \cdot \|\mathcal{L}^k |f|\|_\infty.$$

Now pick $\bar{\Theta} \in (\vartheta, \Theta)$ and fix $\ell_0 > 0$ such that $C_1 \cdot \bar{\Theta}^{\ell_0} < \Theta^{\ell_0}$. Then (2.7), for $\bar{\Theta}$ instead of Θ , results in

$$v_{k+\ell_0}(f) \leq \Theta^{\ell_0} \cdot v_k(f) + 10S_{\ell_0} \cdot \|\mathcal{L}^k |f|\|_\infty,$$

and induction yields for all $n = (j + 1)\ell_0$ ($j \geq 0$)

$$v_{(j+1)\ell_0}(f) \leq \Theta^{(j+1)\ell_0} \|f\|_{BV} + 10S_{\ell_0} \cdot \sum_{i=0}^j \Theta^{i\ell_0} \|\mathcal{L}^{(j-i)\ell_0} |f|\|_\infty.$$

Another application of (2.7) gives the desired estimate for arbitrary n . ■

Suppose now that there is a Borel measure m on X such that

$$(2.8) \quad \frac{d(m \circ T)}{dm} = \frac{1}{g}, \quad \vartheta < 1, \quad \text{and} \quad M_2 := \sup\{m(I) : I \in \mathcal{I}\} < \infty.$$

(In particular, $g \geq 0$ and $|\mathcal{L}| = \mathcal{L}$.) Then

$$(2.9) \quad \int \mathcal{L}f \, dm = \int f \, dm \quad \text{for all } f \in L_m^1$$

and

$$(2.10) \quad \int |f| \, dm \leq \sum_{I \in \mathcal{I}} m(I) \cdot \sup_I |f| \leq M_2 \cdot \|f\|_\infty < \infty$$

for all $f \in BV$. Under some additional assumptions, which will be discussed below, it is shown in [BK] (cf. also [Ry]) that \mathcal{L} has the following spectral decomposition:

$$(2.11) \quad \mathcal{L} = \sum_{i=1}^N \lambda_i \mathcal{P}_i + \mathcal{Q},$$

where $\lambda_1 = 1$, $|\lambda_i| = 1$ ($i = 1, \dots, N$), the \mathcal{P}_i are finite rank projections, $\mathcal{P}_i \mathcal{P}_j = \mathcal{P}_i \mathcal{Q} = 0$ ($i \neq j$), and there are $K > 0$ and $r \in (\vartheta, 1)$ such that $\|\mathcal{Q}^n\|_{BV} \leq K \cdot r^n$ ($n > 0$).

Note: The facts that the spectral radius $\rho(\mathcal{L}) = 1$ and that the leading eigenvalues of \mathcal{L} are semisimple are deduced from (2.9).

Each of the following additional assumptions is sufficient for the spectral decomposition (2.11):

- (A) $\text{var}(g) + \sum_{Z \in \mathcal{Z}} \sup_Z |g| < \infty$. (See [Ry] or [BK, Theorem 2.8].)
- (B) (X, T) is the Markov extension of a piecewise monotonic interval map with finitely many monotone branches, and g and m are the lifts of the corresponding objects of the interval map to X , see (4.1) in [BK].
- (C) (X, T) is the Markov extension of a Collet–Eckmann map, and g and m are in a suitable way multiplicatively cohomologous to the lifts of the function $1/|T'|$ and the Lebesgue measure on $[0, 1]$ respectively, see [KN].

The two last cases will be discussed in more detail in the next section.

We shall say that \mathcal{L} is **mixing**, if $\lambda_1 = 1$ is the only eigenvalue of modulus 1 and if it is a simple eigenvalue of \mathcal{L} .

THEOREM 2: *Suppose (X, T) , g and m are as described above (in particular $\vartheta < \infty$) and such that the spectral decomposition (2.11) holds. If \mathcal{L} is mixing, then there is a unique $h \in BV$ with $h \geq 0$, $\int h \, dm = 1$, $\mathcal{L}h = h$, and for the T -invariant measure $\mu = h \cdot m$*

$$\beta_n(T, \mathcal{Z}, \mu) \leq \text{const} \cdot r^n$$

holds with $r < 1$ from (2.11). ($\beta_n(T, \mathcal{Z}, \mu)$ was defined in (1.1).)

Proof (Cf. [Ry]): Consider $A \in \mathcal{F}_{n+k}^\infty$, $A = T^{-(n+k)}\tilde{A}$ with $\tilde{A} \in \mathcal{F}_0^\infty$, and $\eta \in \mathcal{Z}_k$. We have

$$\mu(A|\mathcal{Z}_k)|_\eta = \frac{1}{\mu(\eta)} \int_A \chi_\eta \cdot h \, dm = \frac{1}{\mu(\eta)} \int_{\tilde{A}} \mathcal{L}^{n+k}(\chi_\eta \cdot h) \, dm,$$

and as $\mu(A) = \mu(\tilde{A})$ and $\mathcal{P}_1(\mathcal{L}^k(\chi_\eta \cdot h)) = \int_\eta h \, dm \cdot h = \mu(\eta) \cdot h$ by (2.8), it follows that

$$\begin{aligned} |\mu(A|\mathcal{Z}_k) - \mu(A)|_\eta &= \left| \int_{\tilde{A}} \left(\frac{1}{\mu(\eta)} \mathcal{L}^{n+k}(\chi_\eta \cdot h) - h \right) dm \right| \\ &\leq \frac{1}{\mu(\eta)} \int |\mathcal{L}^{n+k}(\chi_\eta \cdot h) - \mu(\eta) \cdot h| \, dm \\ &= \frac{1}{\mu(\eta)} \int |\mathcal{Q}^n(\mathcal{L}^k(\chi_\eta \cdot h))| \, dm. \end{aligned}$$

This implies

$$\begin{aligned}
 \beta_n(T, \mathcal{Z}, \mu) &= 2 \cdot \sup_{k>0} \int \sup\{|\mu(A|\mathcal{F}_0^k) - \mu(A)|: A \in \mathcal{F}_{k+n}^\infty\} d\mu \\
 &\leq 2 \cdot \sup_{k>0} \left(\sum_{\eta \in \mathcal{Z}_k} M_2 \cdot \|\mathcal{Q}^n \mathcal{L}^k(\chi_\eta \cdot h)\|_\infty \right) \quad \text{by (2.10)} \\
 &\leq 2M_2 \cdot \|\mathcal{Q}^n\|_{BV} \cdot \sup_{k>0} \left(\sum_{\eta \in \mathcal{Z}_k} \|\mathcal{L}^k(\chi_\eta \cdot h)\|_\infty \right) \\
 &\leq 2M_2 \cdot Kr^n \cdot \sup_{k>0} v_k(h) \\
 &\leq 2M_2 \cdot Kr^n \cdot \frac{C}{1 - \Theta}
 \end{aligned}$$

by (2.11) and Lemma 2 for some $C > 0$ and $\Theta \in (\vartheta, 1)$. ■

3. Proof of Theorem 1

In this section X denotes a linearly ordered, order complete set (which is compact as a topological space with its order topology), and $T: X \rightarrow X$ is supposed to be a piecewise monotonic transformation, i.e. there is a finite partition \mathcal{Z} of X into intervals such that $T|_Z$ is monotone and continuous for each $Z \in \mathcal{Z}$. Let also a Borel probability measure m on X and a function $g: X \rightarrow \mathbf{R}$ of bounded variation be given such that $1/g = d(m \circ T)/dm$.

The Markov extension of (X, T) is a dynamical system $(\widehat{X}, \widehat{T})$ together with a projection $\pi: \widehat{X} \rightarrow X$ such that $T \circ \pi = \pi \circ \widehat{T}$. Its phase space $\widehat{X} = \bigcup_{I \in \mathcal{I}} I$ is a disjoint union of at most countably many subintervals I of X , and $\pi|_I$ (for $I \in \mathcal{I}$) is just the canonical embedding of I into X . This construction, which is due to Hofbauer, is described in [BK], where also further references are given.

Let $\widehat{\mathcal{Z}} := \mathcal{I} \vee \pi^{-1}\mathcal{Z}$, $\widehat{g} := g \circ \pi$. If we define the Borel measure \widehat{m} on \widehat{X} by $\widehat{m}(A) = m(\pi(A))$ for measurable $A \subseteq I \in \mathcal{I}$, then the system $(\widehat{X}, \widehat{T})$ together with the partitions \mathcal{I} and $\widehat{\mathcal{Z}}$, the weight function \widehat{g} and the measure \widehat{m} fits into the setting of section 2, see section 3 and (4.1) of [BK]. Let us denote by \widehat{BV} , $\widehat{\mathcal{L}}$, etc. the objects BV , \mathcal{L} , etc. for \widehat{X} , and observe that $\widehat{\vartheta} = \vartheta$. If $\vartheta < 1$, then $\widehat{\mathcal{L}}$ has the spectral decomposition (2.11), and if $\widehat{\mathcal{L}}$ is mixing, then there is a unique $\widehat{h} \in \widehat{BV}$ such that $\widehat{\mu} = \widehat{h} \cdot \widehat{m}$ is a \widehat{T} -invariant probability measure, and by Theorem 2, $\beta_n(\widehat{T}, \widehat{\mathcal{Z}}, \widehat{\mu}) \leq \text{const} \cdot r^n$ for some $r \in (\vartheta, 1)$. Let $\mu := \widehat{\mu} \circ \pi^{-1}$. Obviously, $\beta_n(T, \mathcal{Z}, \mu) \leq \beta_n(\widehat{T}, \widehat{\mathcal{Z}}, \widehat{\mu})$, and we have proved

COROLLARY 1 ([HK, Ry]): *If (X, T) , \mathcal{Z} , g , m and μ are as above and if $\vartheta < 1$, then there is $r \in (\vartheta, 1)$ such that $\beta_n(T, \mathcal{Z}, \mu) \leq \text{const} \cdot r^n$.*

Suppose now that $T: [0, 1] \rightarrow [0, 1]$ is a nonrenormalizable Collet–Eckmann map (see section 1). With $g = 1/|T'|$ and $m = \text{Lebesgue measure}$ we are in the situation described above except that g is unbounded (as $T'(c) = 0$) such that neither is g of bounded variation nor is $\vartheta < \infty$. In order to overcome this difficulty a function $\hat{w}: \hat{X} \rightarrow (0, \infty)$ was constructed in [KN] such that the weight function

$$\hat{g}: \hat{X} \rightarrow \mathbf{R}, \quad \hat{g} := (g \circ \pi) \cdot \frac{\hat{w}}{\hat{w} \circ \hat{T}}$$

satisfies 2.4 [KN, Prop. 6.2] and $\hat{\vartheta} < 1$ [KN, Prop. 6.3]. If \hat{m} denotes the measure on \hat{X} with density \hat{w} with respect to the Lebesgue measure on \hat{X} , then obviously $1/\hat{g} = d(\hat{m} \circ \hat{T})/d\hat{m}$ and $\sup\{\hat{m}(I): I \in \mathcal{I}\} < \infty$ [KN, Prop. 6.1], i.e. assumption (2.8) is satisfied. Furthermore, Theorem 2.1 of [KN] and the discussion at the beginning of section 5 of that paper show that $\hat{\mathcal{L}}$ has the spectral decomposition (2.11) and is mixing. In particular, there is a unique $\hat{h} \in \widehat{BV}$ with $0 \leq \hat{h} = \hat{\mathcal{L}}\hat{h}$, and the system $(\hat{T}, \hat{\mu} := \hat{h}\hat{m})$ is mixing. Hence Theorem 2 applies to (\hat{X}, \hat{T}) , \hat{g} , \hat{m} and $\hat{\mu}$. Denoting by $\mu := \hat{\mu} \circ \pi^{-1}$ the projection of $\hat{\mu}$ to X , we conclude

$$\beta_n(T, \mathcal{Z}, \mu) \leq \beta_n(\hat{T}, \hat{\mathcal{Z}}, \hat{\mu}) \leq \text{const} \cdot r^n \quad \text{for some } r \in (\hat{\vartheta}, 1).$$

This proves Theorem 1 from the introduction.

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